



**finswitch**

Simplicity. Certainty. Efficiency.

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# Operations Committee Meeting No. 13

Tuesday, 12 April 2016.

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# Agenda.

- Introduction by Nick Baikoff
  - Did You Know presented by Tasneem Gydien
  - Looking Back presented by Tasneem Gydien
  - Current Release presented by Tasneem Gydien
  - Looking Ahead presented Nick Baikoff
  - Questions
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Introduction.

New Functionality being Delivered

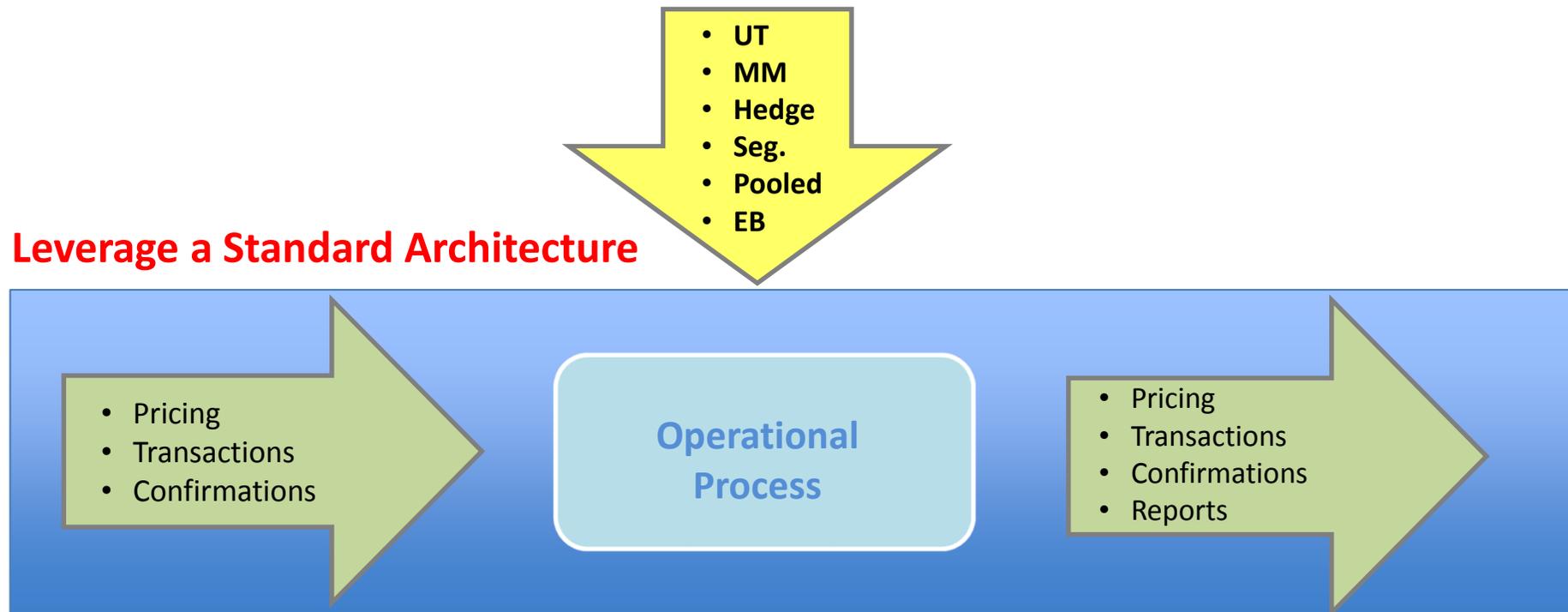
BUT .....

***WE NEED YOU TO USE IT***

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## FinSwitch Covers All Products – Free Format Files.



- Reduce risk
- Improve efficiency
- Quicker to market

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## Did You Know?

- You can customize your price downloads?
    - ✓ Selecting price type, the company, the funds, etc.
    - ✓ Also available via Web Services
  - You can activate the Auto Price Delivery?
    - ✓ Activated by the user under “My Profile” and “My Downloads”, configuration required
    - ✓ Once configured and notification set, the price file will be emailed to your mailbox
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## Looking Back.

Item	Description
Auto Linking	Adding and linking of fund accounts automated
TER File	Included Transactional Cost Percentage and Total Cost Percentage columns
LISP Forum	Held first LISP forum with the following outcomes: <ul style="list-style-type: none"><li data-bbox="860 932 1581 963">▪ MM distribution must be uploaded daily in the ID file</li><li data-bbox="860 970 1659 1002">▪ Requesting stricter cut off times for CT uploads by ManCo's</li><li data-bbox="860 1008 1805 1040">▪ Rebates and reinvestments (This is currently a FinSwitch wish list item)</li></ul>
Free Price Downloads	For non commercial use

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## Current Release.

Estimated Mid May 2016.

Item	Description
Holdings Balances in Confirmation File	The Holding balances (HO/HC) as reflected by your client ledger system will become <b>mandatory</b> , replacing Opening and Closing balances (AB/ZB). HO/HC must be included for each fund whether there were any trades or not and must reflect the <b>balances held on your client ledger</b>
Client Operational Dashboard	Current and daily operational statuses: <ul style="list-style-type: none"><li>▪ File Activities</li><li>▪ Warnings</li><li>▪ Errors</li></ul>
Download Consolidation Files	Files will be available in real time, without having to wait 15 minutes
Money Market Funds	Cut off will be mandatory when adding MM funds
Notifications	New users created will not receive any notifications, users must configure their own notifications

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## Current Release.

Estimated Mid May 2016.

Item	Description
TER File	TER percentage will a mandatory field
Transaction Notification	Repurchase transaction exceeding 5% has been rectified
Transaction File	Full disinvestments – 100% accepted in percentage column
<i>* Transaction File</i>	<i>Option available to resubmit files that have missed cut off to the next business day</i>
Web Services	Customization of files available

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## Looking Ahead.

Item	Description
Transfers	New module on FinSwitch application
International Linking	Link to Calastone
User Contact Details	Any objections for this to be available for all to see
FinSwitch Architecture	Optimizing system backend (No impact to clients)

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## Office Changes.

- Marshay Lotz has left FinSwitch
    - ✓ Greener pastures
  - Kaylin Fisher will be the new Office Administrator
    - ✓ Start date – 03 May 2016
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**Questions!**